

Attorney Docket:XY-Super-Cont2 Express Mail No.: EV272195341US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

George E. Seidel, Lisa Herickhoff, John Schenk

For:

Multiple Sexed Embryo Production System for Mammals

Using Low Numbers of Spermatozoa

Serial Number:

10/081,955

Filed:

February 20, 2002

Assignees:

XY, Inc. and Colorado State University through its agent

Colorado State University Research Foundation

LETTER OF TRANSMITTAL

Commissioner of Patents P.O. Box 1450, Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing is:

- 1.) a Supplemental Information Disclosure Statement along with a Statement under 37 C.F.R. §1.97(b)(3) and §609(B1) of the MPEP and copies of cited references;
- 2.) this Letter of Transmittal; and
- 3.) a Certificate of Express Mailing for each document.

Please confirm receipt of the documents by applying your date stamp on the enclosed postcard receipt and returning it to me.

I have this <u>29</u> day of August, 2003, either myself personally or through my direction of staff at this office, deposited all of the items in the above letter of transmittal with the United States Postal Service as Express Class Mail, postage prepaid, in an envelope addressed to: The Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated this _____ day of, August, 2003.

Respectfully Submitted,

SANTANGELO Law Offices, P.C.

By:

Craig R.)Miles

ATTORNEY FOR APPLICANT

RTO No. 45,954

125 South Howes, Phird Floor

Fort Collins, Colorado 80521

(303) 224-3100



Attorney Docket:XY-Super-Cont2 Express Mail No.: EV110549452US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

George E. Seidel, Lisa Herickhoff, John Schenk

For:

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XY, Inc. and Colorado State University through its agent Colorado

State University Research Foundation

CERTIFICATE OF EXPRESS CLASS MAILING

I, Cheryl A. Swanson, hereby certify to the truth of the following items:

- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Letter of Transmittal with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated this 29 day of August, 2003.

Cheryla. Swanson



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STATEMENT UNDER 37 C.F.R. § 1.97(b)(3) and MPEP § 609(B1)

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, §1.97, and §1.98, the information listed and attached may be material to the examination of the referenced application. Pursuant to 37 C.F.R. §1.97(b)(3) and §609(B1) of the MPEP, no fee is required as this information is submitted prior to a first office action on the merits.

Pursuant to 37 C.F.R. §1.97(g), this Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made. A copy of each item is enclosed with a listing similar to PTO Form 1449 for the examiner's convenience.

Dated this 29 day of August, 2003

Respectfully submitted,

SANTANGELO LAW OFFICES, P.C.

By:

Craig Miles

ATTORNEY FOR APPLICANT

PTO No. 45,954

125 South Howes, Third Floor Fort Collins, Colorado 80521

(303) 224-3100

AUG 2 9 2003

Express Mail No. EV 272195341 US

| IN THE UNITED STATES |
|-----------------------------|
| PATENT AND TRADEMARK OFFICE |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT SUPPLEMENTAL

| APPLICATION NO: | 10/081,955 |
|-----------------------|-------------------|
| FILING DATE: | February 20, 2002 |
| FIRST NAMED INVENTOR: | George E. Seidel |
| ART UNIT: | |
| EXAMINER NAME: | |
| DOCKET NO: | XY -Super - Cont2 |

I.

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. & KIND CODE (if known) | PUB'N DATE mm-dd-yyyy | PATENTEE OR APPLICANT NAME | CLASS | SUBCLASS | FILING DATE mm-dd-yyyy |
|---------------------|-------------------------------------|--------------------------|-------------------------------|-------|----------|---------------------------|
| | | | | | | |

II. FOREIGN PATENT DOCUMENTS

| EXAMINER | Foreign Patent Document Country Code, Number, Kind Code (if known) | PUB'N DATE | PATENTEE OR APPLICANT | TRANSLA | TION |
|----------|--|------------|-----------------------|---------|------|
| INITIAL | | mm-dd-yyyy | NAME | Yes | No |
| | WO 02119943 A1 | 03-14-2002 | Universiteit Gent | X | |

III. OTHER DOCUMENTS (Including Author (in CAPITAL LETTERS), Title, Date, Pertinent Pages, Etc.)

| EXAMINER | DATE CONSIDERED | | | |
|--|-----------------|--|--|--|
| EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | |